

**PATEN** 

IN THE UNITED STATES PATENT AND TRADESMARK OFFICE 1600/2900

Kravtzoff, et al.

Examiner:

Unassigned

erial No.:

09/745,644

Group Art Unit:

1643

Filed:

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For:

PARTICULATE COMPLEX

Dated:

April 16, 2001

FOR ADMINISTERING

NUCLEIC ACID INTO A CELL

**Assistant Commissioner for Patents** Washington, DC 20231

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:

Assistant Commissioner for Patents, Washington, D.C.

20231 on April 16, 2001

Dated: 4/16/01

## INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

## **UNITED STATES PATENTS**

PATENTEE	PATENT NO.	<b>ISSUE DATE</b>
Luciw, et al.	5,688,688	November 18, 1997
Samain, et al.	5,968,794	October 19, 1999
Samain, et al.	6,013,284	January 11, 2000
Betbeder, et al.	6,017,513	January 25, 2000
Belloni, et al.	6,034,137	March 7, 2000
Nantz, et al.	6,043,390	March 28, 2000
Thierry	6,096,335	August 1, 2000

## **NON-PATENT PUBLICATIONS**

- 1. Felgner, et al., "Nomenclature for Synthetic Gene Delivery Systems", *Human Gene Therapy*, 8:511-512 (1997).
- 2. Murata, et al., "Design of Quaternary Chitosan Conjugate Having Antennary Glactose Residues as a Gene Delivery Tool", *Carbohydate Polymers*, 32:105-109 (1997).
- 3. Murata, et al., "Possibility of Application of Quaternary Chitosan Having Pendant Galactose Residues as Gene Delivery Tool", *Carbohydrate Polymer*, 29:1, 69-74(1996).
- 4. Bettinger, et al., "Size Reduction of Galactosylated PEI/DNA Complexes Improves Lectin-Mediated Gene Transfer into Hepatocytes", *Bioconjugate Chem.* 10:558-561(1999).

The above-identified patents are also listed in Applicant's Form PTO-1449, which is attached to this Information Disclosure Statement for the convenience of the Examiner. An IDS was previously filed in connection with this application on March 26, 2001, which incorrectly indicates that the references listed above were provided in parent application Serial No. 09/557,717. Accordingly, a new IDS is provided herein which includes copies of the references.

Applicants respectfully submit that the application is now in condition for examination.

Respectfully submitted,

Tames F. Harrington

Registration No.: 44,741

Attorney for Applicant(s)

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 JFH:jlw 131751\_1.DOC